

**GENERAL MEETING OF THE BOARD OF DIRECTORS  
OF THE NORTH EAST TEXAS  
REGIONAL MOBILITY AUTHORITY**

**RESOLUTION NO. 16-69**

WHEREAS, the North East Texas Regional Mobility Authority (“NET RMA”) was created pursuant to the request of Gregg and Smith Counties and in accordance with provisions of the Transportation Code and the petition and approval process established in 43 Tex. Admin. Code § 26.1, *et seq.* (the “RMA Rules”); and

WHEREAS, the Board of Directors of the NET RMA has been constituted in accordance with the Transportation Code and the RMA Rules; and

WHEREAS, subsequent to the initial formation of the NET RMA the Counties of Cherokee, Rusk, Harrison, Upshur, Bowie, Panola, Van Zandt, Wood, Titus, and Kaufman joined the Authority and are represented on the Board of Directors; and

WHEREAS, pursuant to Section 26.65(a) of the RMA Rules, the NET RMA is required to annually submit to the Texas Department of Transportation (“TxDOT”) a compliance report that lists certain duties that the NET RMA is required to perform and indicates that the NET RMA has performed that requirement for that fiscal year; and

WHEREAS, NET RMA staff has prepared the annual compliance report for submission to TxDOT required by Section 26.65(a), a copy of which is attached as Attachment “A”; and

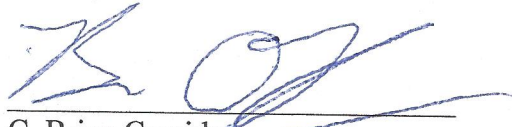
WHEREAS, Section 26.65(a) of the RMA Rules requires that the compliance report be approved by official action of the NET RMA Board of Directors.

NOW THEREFORE, BE IT RESOLVED, that the NET RMA Board of Directors hereby approves the annual compliance report attached as Attachment “A” and;

BE IT FURTHER RESOLVED, the Executive Director is authorized to submit the compliance report to TxDOT.

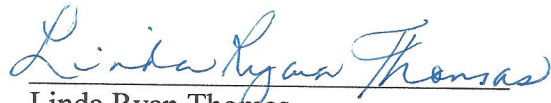
Adopted by the Board of Directors of the North East Texas Regional Mobility Authority on the 9th day of August, 2016.

Submitted and reviewed by:



C. Brian Cassidy  
General Counsel for the North East  
Texas Regional Mobility Authority

Approved:



Linda Ryan Thomas  
Chair, Board of Directors  
Date Passed: 08/09/16

## North East Texas Regional Mobility Authority

### Compliance Report

Texas Administrative Code Title 43, Part I, Chapter 26, Subchapter G

§26.65(a) Annual Reports to the Commission

Compliance Rule	Compliance Statement	Certification
<i>Rule §26.61 Written Reports:</i>		
The annual operating and capital budgets adopted by the RMA year.	FY 2016 Budget adopted by NET RMA board on 09/22/2015.	NET RMA board resolution 15-29, adopted Sept 22, 2015.
Any annual financial information and notices of material events required to be disclosed under Rule 15c2-12 of the SEC.	2015 Annual Report submitted to TxDOT in Dec 2015.	NET RMA board Resolution 15-55, adopted December 8, 2015.
To the extent not disclosed in another report required in this compliance report, a statement of any surplus revenue held by the RMA and a summary of how it intends to use the surplus revenue.	\$7,482,160 was held for the operation, maintenance and debt service for Toll 49.	NET RMA board resolution 15-29, adopted Sept 22, 2015.
An independent auditor's review of the reports of investment transactions prepared under Government Code, §2256.023.	Independent FYE 9/30/2015 by Prothro, Wilhelmi and Company, PLLC Submitted summer of 2015. Audit concluded 12/2015.	NET RMA board resolution 16-01, adopted Tuesday, Jan 12, 2016.
<i>Rule §26.62 Annual Audit:</i>		
The RMA shall maintain its books and records in accordance with generally accepted accounting principles in the United States and shall have an annual financial and compliance audit of such books and records.	Independent FYE 9/30/2015 by Prothro, Wilhelmi and Company, PLLC Submitted summer of 2015. Engagement letter 10/2015. Audit concluded 12/2015.	NET RMA board resolution 16-01, adopted Tuesday, Jan 12, 2016.
The annual audit shall be submitted to each county or city that is a part of the RMA within 120 days after the end of the fiscal year, and conducted by an independent certified public accountant.	Annual Report and Audit submitted to member counties 30-60 days after completion depending on NET RMA board meeting dates.	NET RMA board resolution 16-02, adopted Tuesday, Jan 12, 2016 and (audit) resolution 16-01, adopted Tuesday, Jan 23 2016.
All work papers and reports shall be retained for a minimum of four years from the date of the audit.	All work papers and reports are maintained at the offices of Prothro, Wilhelmi & Company, 6855 Oak Hill Blvd. Tyler, Texas 75703	All work papers and reports are maintained at the offices of Prothro, Wilhelmi & Company, 6855 Oak Hill Blvd. Tyler, Texas 75703
<i>Rule §26.63 Other Reports to Counties and Cities:</i>		
Provide other reports and information regarding its activities promptly when requested by the counties or cities.	Annual/Quarterly reports send upon request. Annual reports posted on the NET RMA website.	<a href="http://www.netrma.org">www.netrma.org</a>
<i>Rule §26.64 Operating Records:</i>		
The Department will have access to all operating and financial records of the RMA. The executive director will provide notification if access is desired by the department.	All records are stored/available at NET RMA; 909 ESE Loop 323, Ste. 520, Tyler, Texas 75701	Board members have stopped by office on several occasions to review records, as needed.